

14

10/24/03
Hollman



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shinji Maekawa
 Serial No. : 09/724,403
 Filed : November 27, 2000
 Title : METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE WITH
 TENSILE STRESS (AS AMENDED)

Art Unit : 2813
 Examiner : Yennhu B. Huynh

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Form 1449 that accompanied an information disclosure statement filed November 27, 2000. A copy of the date-stamped postcard, application transmittal, information disclosure statement and PTO-1449 are attached as evidence of the same.

Applicant's records show that this information disclosure statement complied with 37 CFR 1.97. Thus, we respectfully request that the examiner initial and return this form as soon as possible.

Respectfully submitted,

Date: 10/20/03


 John F. Hayden
 Reg. No. 37,640

Customer No. 26171
 Fish & Richardson P.C.
 1425 K Street, N.W.
 11th Floor
 Washington, DC 20005-3500
 (202) 783-5070 telephone
 (202) 783-2331 facsimile

40177273.doc

RECEIVED
 OCT 23 2003
 TECHNOLOGY CENTER 2800



COPY

PATENT
ATTORNEY DOCKET NO. 01977-258001

The Patent and Trademark Office date stamp sets forth the receipt date (or both the receipt date and the Serial Number) of a patent application identified as follows:

Applicant: Shinji Maekawa
Title: Semiconductor Device and Method of Manufacturing the Same

☒ Transmittal Letter
☒ Appln. 45 Pages of Spec. 9 Pages of Claims 43 Total Claims 1 Pages of Abstract
☐ Rule 62 Filing Request (FWC) Pages
☐ Assignment
☐ Deposit Account Order Form (2 Copies)
☒ Check 40/12922
☐ Small Entity Statement
☒ Drawings 21 Sheets Formal Sheets Informal
☒ Combined Declaration and Power of attorney 3 signed unsigned
☐ Preliminary Amendment Pages
☒ Information Disclosure Statement
☒ PTO 1449 Form 3 Pages
☒ Prior Art References Number of References 46
☒ Priority Document 83 Pages
☐ English Translation
☒ Other color sheet; 1pg. table

Attorney/Sec. RA Client
Initials RA Matter Name 01977-258001 Date 11/27/00

JC714 U.S. PTO
09/724403
11/28/00

2688 26780745

RECEIVED

DEC 11 2000

FISH & RICHARDSON P.C.
LA JOLLA, CA

RECEIVED
OCT 23 2003
TECHNOLOGY CENTER 2800

FISH & RICHARDSON P.C.

Frederick P. Fish
1855-1930



W. K. Richardson
1859-1951

November 27, 2000

4350 La Jolla Village Drive
Suite 500
San Diego, California
92122

Telephone
858 678-5070

Facsimile
858 678-5099

Web Site
www.fr.com

Attorney Docket No.: 07977/258001/US4448

Box Patent Application
Commissioner for Patents
Washington, DC 20231

COPY

Presented for filing is a new original patent application of:

Applicant: SHINJI MAEKAWA

Title: SEMICONDUCTOR DEVICE AND METHOD OF
MANUFACTURING THE SAME

Enclosed are the following papers, including those required to receive a filing date
under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	65
Claims	9
Abstract	1
Declaration	3
Drawing(s)	27
Table	1

Enclosures:

- Assignment cover sheet and an assignment, 3 pages, and a separate \$40 fee.
- New disclosure information, including:
 - Information disclosure statement, 2 pages.
 - PTO-1449, 3 pages.
 - References, 46 items.
- Certified copies of priority document(s) no(s) 11-336850.

RECEIVED
OCT 23 2003
TECHNOLOGY CENTER 2800

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL688267807US

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 27, 2000

Date of Deposit

Signature

Derek W. Norwood

Typed or Printed Name of Person Signing Certificate



BOSTON

DALLAS

DELAWARE

NEW YORK

SAN DIEGO

SILICON VALLEY

TWIN CITIES

WASHINGTON, DC

Commissioner for Patents
November 27, 2000
Page 2

COPY

— Postcard.

There are 79 total claims, 14 of which are independent.

Under 35 USC 119, this application claims the benefit of a foreign priority application filed in Japan, serial number 11-336850, filed November 26, 1999.

Basic filing fee	\$710
Total claims in excess of 20 times \$18	\$1062
Independent claims in excess of 3 times \$80	\$880
Fee for multiple dependent claims	\$270
Total filing fee:	\$2922

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.


If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

SCOTT C. HARRIS
Fish & Richardson P.C.
Customer Number: 20985
4350 La Jolla Village Drive, Suite 500
San Diego, CA 92122

Respectfully submitted,


Scott C. Harris
Reg. No. 32,030
Enclosures
SCH/rpi
10069821.doc